

INTERSTATE CERTIFICATION REQUEST FORM

A. Prior/Other Certifications

Is your firm currently certified for the DBE Program in your home state? Gender: Male Female Are you a U.S. Citizen or a lawfully	□ DBE □ ACDBE admitted	Name of certifying agency: Has your firm's state UCP conducted an on-site visit? ☐ Yes, on// State ☐ No Ethnic group membership (check all that apply): ☐ Black ☐ Hispanic		
Permanent U.S. Resident:		□Native American □Asian Pacific		
□ Yes □ No		☐ Subcontinent Asian ☐ Other (specify)		
B. Prior/Other Applications and Privileges				
Has your firm (under any name) or any of its owners, Board of Directors, officers or management personnel, ever withdrawn an application for the DBE program with any state, or ever been denied certification, decertified, or debarred or suspended or otherwise had bidding privileges denied or restricted by any state or local agency, or Federal entity? Yes, on// No				
If Yes, identify State and name of state, local, or Federal agency and explain the nature of the action:				
GENERAL INFORMATION C. Contact Information				
(1) Contact person and Title:	(2) Legal name of firm:			
(3) Phone #: (4) Oth		er Phone #:	(5)	Fax #:
(6) E-mail:		(7) Website (if applicable).		
(8) Street address of firm (<u>No</u> P.O. Box Allowed):				
City: County/Parish:		State: Zip:		
(9) Mailing address of firm (if different):				
City: County/Par		State:	Zip:	

D. Business Profile (1) Concise description of firm's primary activities. This (2) Applicable NAICS Code(s): description may be used in our database online directory: (3) This firm was established on: (4) I/We have owned this firm since: (5) Method of acquisition (check all that apply): ☐ Started new business ☐ Bought existing business ☐ Inherited business ☐ Secured concession \square Other (explain) ☐ Merger or consolidation INTERSTATE CERTIFICATION INFORMATIONAL REVIEW (PLEASE PROVIDE ALL DOCUMENTS CHECKED AND IN RED) Provided by firm $\overline{\mathbf{V}}$ A current Personal Net Worth statement if more than 90 days old; Provided by firm Individual federal tax returns for the last 2 tax years; Firm's federal tax returns for the last year; Provided by firm $\overline{\mathbf{Q}}$ Provided by firm $\mathbf{\Lambda}$ A copy of the latest letter of certification from its Home state; Provided by firm **By-Laws or Operating Agreements**; Provided by firm **Balance Sheet And/Or Income Statement for the last tax Affidavit of disclosure (On Website)** Provided by firm $\overline{\mathbf{Q}}$ (7) Type of firm (check all that apply): ☐ Sole Proprietorship ☐ Partnership ☐ Corporation ☐ Limited Liability Partnership ☐ Limited Liability Corporation ☐ Joint Venture ☐ Other, Describe: (8) Has your firm ever existed under different ownership, a different type of ownership, or a different name? \square Yes \square No If Yes, explain: (9) Number of employees: Full-time______ Part-time_____ Total_____

THE REQUEST FORM AND REQUIRED DOCUMENTS SHOULD BE SENT TO:

Print Name:

Signature: ______ Date: _____

Maine Department of Transportation, Civil Rights Office, State House Station 16, Augusta Maine 04333 or e-mailed to stacie.haskell@maine.gov